

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

Small PHA Plan Update
Annual Plan for Fiscal Year: 2003

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Housing Authority of Lawrence County

PHA Number: KY086

PHA Fiscal Year Beginning: (mm/yyyy) 04-2003

PHA Plan Contact Information:

Name: Pauletta G. McNabb

Phone: (606) 638-9414

TDD: 1-800-648-6056

Email (if available): mcnabb86@kih.net

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting:
(select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ Main administrative office of the local, county or State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

PHA Programs Administered:

☒ Public Housing and Section 8 ☐ Section 8 Only ☐ Public Housing Only

Annual PHA Plan Fiscal Year 2003

[24 CFR Part 903.7]

i. Table of Contents

Provide a table of contents for the Plan, including attachments, and a list of supporting documents available for public inspection. For Attachments, indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

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<input checked="" type="checkbox"/> Other (List below, providing each attachment name)	
Attachment D : CFP 2002 P & E Report Attachment E : CFP 2001 P & E Report Attachment F : <u>Deleted</u> Attachment J : PHA Criteria for Substantial Amendments or Modifications or Significant Deviations Attachment K : Deconcentration & Income Mixing Attachment L : Voluntary Conversion Required Initial Assessment Attachment M : Description of Implementation of Community Service Attachment N : Description of PHA's Pet Policy	

Attachment **G** is not applicable to the Housing Authority of Lawrence County and is not included.

ii. Executive Summary

[24 CFR Part 903.7 9 (r)]

At PHA option, provide a brief overview of the information in the Annual Plan

The following progress is noted in meeting goals and objectives outlined in the Housing Authority original 5 Year Plan:

Goal One: Manage the Housing Authority of Lawrence County's existing public housing and section 8 programs in an efficient and effective manner thereby qualifying as at least a standard performer.

Objectives:

1. HUD shall recognize the Housing Authority of Lawrence County as a PHAS high performer by December 31, 2004.
2. The Housing Authority of Lawrence County shall make our public housing units more marketable to the community as evidenced by an increase in our waiting list to one that requires a 4-month wait for housing by December 31, 2004.

Progress: The Housing Authority of Lawrence County received high performer status for the fiscal year ended March 31, 2001 and maintained this status in fiscal year ending March 31, 2002.

Goal Two: Provide a safe and secure environment in the Lawrence County Housing Authority's public housing developments.

Objectives:

1. The Housing Authority of Lawrence County shall maintain a crime level in its developments that is no more than the surrounding neighborhood by December 31, 2004.
2. The Housing Authority of Lawrence County shall refine the memorandum of understanding between the jurisdiction's police force and this agency. The purpose of this is to better define the "edge problem" of crime that occurs near our developments and develop strategies for identifying and reducing this problem.

Progress: The Housing Authority Board of Commissioners and staff are on course in meeting our goals and improving the condition and availability of affordable housing for our community.

Goal Three: Expand the range and qualify of housing choices available to participants in the Lawrence County Housing Authority's tenant based assistance program.

Objectives:

1. The Housing Authority of Lawrence County shall achieve and sustain a utilization rate of 98% by December 31, 2004.
2. Manage the Housing Authority of Lawrence County's Section 8 program in an efficient and effective manner thereby qualifying as at least a standard performer in the SEMAP grading program.
3. The Housing Authority of Lawrence County shall attract new landlords who want to participate in the program and assist in providing affordable and quality housing for our residents.

Progress:

In meeting it's Five Year Goals, the Housing Authority of Lawrence County remains committed in administration of its programs in an efficient and effective manner.

1. Summary of Policy or Program Changes for the Upcoming Year

In this section, briefly describe changes in policies or programs discussed in last year's PHA Plan that are not covered in other sections of this Update.

There are no anticipated changes.

2. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions: Section 8 only PHAs are not required to complete this component.

A. ☒ Yes ☐ No: Is the PHA eligible to participate in the CFP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) Capital Fund Program grant for the upcoming year? \$ **145,669**

C. ☒ Yes ☐ No Does the PHA plan to participate in the Capital Fund Program in the upcoming year? If yes, complete the rest of Component 7. If no, skip to next component.

D. Capital Fund Program Grant Submissions

(1) Capital Fund Program 5-Year Action Plan

The Capital Fund Program 5-Year Action Plan is provided as **Attachment C**

(2) Capital Fund Program Annual Statement

The Capital Fund Program Annual Statement is provided as **Attachment B**

3. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability: Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to next component ; if "yes", complete one activity description for each development.)

2. Activity Description

Demolition/Disposition Activity Description (Not including Activities Associated with HOPE VI or Conversion Activities)
--

1a. Development name:
1b. Development (project) number:
2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>(DD/MM/YY)</u>
5. Number of units affected:
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development
7. Relocation resources (select all that apply) <input type="checkbox"/> Section 8 for units <input type="checkbox"/> Public housing for units <input type="checkbox"/> Preference for admission to other public housing or section 8 <input type="checkbox"/> Other housing for units (describe below)
8. Timeline for activity: a. Actual or projected start date of activity: b. Actual or projected start date of relocation activities: c. Projected end date of activity:

4. Voucher Homeownership Program

[24 CFR Part 903.7 9 (k)]

- A. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If “No”, skip to next component; if “yes”, describe each program using the table below (copy and complete questions for each program identified.)

B. Capacity of the PHA to Administer a Section 8 Homeownership Program

The PHA has demonstrated its capacity to administer the program by (select all that apply):

- ☐ Establishing a minimum homeowner downpayment requirement of at least 3 percent and requiring that at least 1 percent of the downpayment comes from the family’s resources
- ☐ Requiring that financing for purchase of a home under its section 8 homeownership will be provided, insured or guaranteed by the state or Federal government; comply with secondary mortgage market underwriting requirements; or comply with generally accepted private sector underwriting standards
- ☐ Demonstrating that it has or will acquire other relevant experience (list PHA experience, or any other organization to be involved and its experience, below):

5. Safety and Crime Prevention: PHDEP Plan

[24 CFR Part 903.7 (m)]

Exemptions Section 8 Only PHAs may skip to the next component PHAs eligible for PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

A. ☐ Yes ☒ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?

B. What is the amount of the PHA's estimated or actual (if known) PHDEP grant for the upcoming year? \$ _____

C. ☐ Yes ☒ No Does the PHA plan to participate in the PHDEP in the upcoming year? If yes, answer question D. If no, skip to next component.

D. ☐ Yes ☐ No: The PHDEP Plan is attached at Attachment _____

6. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board (RAB) Recommendations and PHA Response

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?

2. If yes, the comments are Attached at **Attachment** (File name) **I**

3. In what manner did the PHA address those comments? (select all that apply)

☐ The PHA changed portions of the PHA Plan in response to comments
A list of these changes is included

☐ Yes ☐ No: below or

☐ Yes ☐ No: at the end of the RAB Comments in Attachment _____.

☒ Considered comments, but determined that no changes to the PHA Plan were necessary. An explanation of the PHA's consideration is included at the at the end of the RAB Comments in **Attachment I**.

☐ Other: (list below)

B. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here) **Commonwealth of Kentucky**
2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)
 - ☐ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
 - ☐ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
 - ☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
 - ☒ Activities to be undertaken by the PHA in the coming year are consistent with specific initiatives contained in the Consolidated Plan. (list such initiatives below)

Maintaining safe and affordable housing in the community.
 - ☒ Other: (list below)

In accordance with Notice PIH 2000-43 (HA) the Housing Authority of Lawrence County is not required to provide this certification as a part of the Small PHA Plan Update submission.
3. PHA Requests for support from the Consolidated Plan Agency
 - ☐ Yes ☒ No: Does the PHA request financial or other support from the State or local government agency in order to meet the needs of its public housing residents or inventory? If yes, please list the 5 most important requests below:
4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below) To provide safe and affordable housing in the community

C. Criteria for Substantial Deviation and Significant Amendments

1. Amendment and Deviation Definitions

24 CFR Part 903.7(r)

PHAs are required to define and adopt their own standards of substantial deviation from the 5-year Plan and Significant Amendment to the Annual Plan. The definition of significant amendment is important because it defines when the PHA will subject a change to the policies or activities described in the Annual Plan to full public hearing and HUD review before implementation.

These criteria are also outlined in **Attachment J**

A. Substantial Deviation from the 5-year Plan:

- Changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Additions of new activities not included in the current PHDEP Plan;
- And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

B. Significant Amendment or Modification to the Annual Plan:

- Changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Additions of new activities not included in the current PHDEP Plan;
- And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Attachment A

Supporting Documents Available for Review

PHAs are to indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
N/A	State/Local Government Certification of Consistency with the Consolidated Plan (not required for this update)	5 Year and Annual Plans
X	Fair Housing Documentation Supporting Fair Housing Certifications: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement.	5 Year and Annual Plans
X	Housing Needs Statement of the Consolidated Plan for the jurisdiction/s in which the PHA is located and any additional backup data to support statement of housing needs in the jurisdiction	Annual Plan: Housing Needs
X	Most recent board-approved operating budget for the public housing program	Annual Plan: Financial Resources
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O/ACOP), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
N/A	Any policy governing occupancy of Police Officers in Public Housing <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the method for setting public housing flat rents <input checked="" type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Results of latest binding Public Housing Assessment System (PHAS) Assessment	Annual Plan: Management and Operations
X	Follow-up Plan to Results of the PHAS Resident Satisfaction Survey (if necessary)	Annual Plan: Operations and Maintenance and Community Service & Self-Sufficiency
X	Results of latest Section 8 Management Assessment System (SEMAP)	Annual Plan: Management and Operations
N/A	Any required policies governing any Section 8 special housing types <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for any active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grants	Annual Plan: Capital Needs
N/A	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans, or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Self-evaluation, Needs Assessment and Transition Plan required by regulations implementing §504 of the Rehabilitation Act and the Americans with Disabilities Act. See, PIH 99-52 (HA).	Annual Plan: Capital Needs
N/A	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
N/A	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
N/A	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act, Section 22 of the US Housing Act of 1937, or Section 33 of the US Housing Act of 1937	Annual Plan: Conversion of Public Housing
N/A	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
N/A	Policies governing any Section 8 Homeownership program (section _____ of the Section 8 Administrative Plan)	Annual Plan: Homeownership
X	Cooperation agreement between the PHA and the TANF agency and between the PHA and local employment and training service agencies	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Section 3 documentation required by 24 CFR Part 135, Subpart E	Annual Plan: Community Service & Self-Sufficiency
N/A	Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
N/A	The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report	Annual Plan: Safety and Crime Prevention
N/A	PHDEP-related documentation: <ul style="list-style-type: none"> Baseline law enforcement services for public housing developments assisted under the PHDEP plan; Consortium agreement/s between the PHAs participating in the consortium and a copy of the payment agreement between the consortium and HUD (applicable only to PHAs participating in a consortium as specified under 24 CFR 761.15); Partnership agreements (indicating specific leveraged support) with agencies/organizations providing funding, services or other in-kind resources for PHDEP-funded activities; Coordination with other law enforcement efforts; Written agreement(s) with local law enforcement agencies (receiving any PHDEP funds); and All crime statistics and other relevant data (including Part I and specified Part II crimes) that establish need for the public housing sites assisted under the PHDEP Plan. 	Annual Plan: Safety and Crime Prevention
X	Policy on Ownership of Pets in Public Housing Family Developments (as required by regulation at 24 CFR Part 960, Subpart G) <input type="checkbox"/> check here if included in the public housing A & O Policy	Pet Policy
X	The results of the most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U. S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Related Plan Component
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)
X	Community Service Policy	Annual Plan
X	Pet Policy	Annual Plan
X	Voluntary Conversion Required Initial Assessment	Annual Plan
X	Deconcentration Analysis	Annual Plan

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: FY03
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	6,500.00			
3	1408 Management Improvements	1,500.00			
4	1410 Administration	1,000.00			
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	17,000.00			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	119,669.00			
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	145,669.00			
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-03 Replacement Housing Factor Grant No:			Federal FY of Grant: FY03
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:)					
<input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
25	Amount of Line 21 Related to Security – Hard Costs				
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-03 Replacement Housing Factor Grant No:				Federal FY of Grant: FY03		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		6,500.00				
	Management Improvements	1408		1,500.00				
	Administration	1410		1,000.00				
	Architect	1430		8,500.00				
	Management Consultant	1430		8,500.00				
KY86-01								
Bird Hayes Manor	No Activity							
KY86-02	Replace cabinets/counter tops	1460		115,294.00				
Ray Wms Villa	Replace Range Hoods	1460		4,375.00				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

Capital Fund Program Five-Year Action Plan

Part I: Summary

PHA Name H.A. Lawrence Cty		<input checked="" type="checkbox"/> Original 5-Year Plan <input type="checkbox"/> Revision No:			
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: 2004 PHA FY: 2004	Work Sttement for Year 3 FFY Grant: 2005 PHA FY: 2005	Work Statement for Year 4 FFY Grant: 2006 PHA FY: 2006	Work Statement for Year 5 FFY Grant: 2007 PHA FY: 2007
	Annual Statement				
KY86-01					
Bird Hayes Manor		22,500.00	10,625.00	No Activity	81,000.00
KY86-02					
Ray Wms. Villa		74,400.00	96,400.00	61,000.00	15,000.00
PHA-Wide		48,769.00	38,644.00	84,669.00	49,669.00
CFP Funds Listed for 5-year planning		145,669.00	145,669.00	145,669.00	145,669.00
Replacement Housing Factor Funds					

Part II: Supporting Pages—Work Activities

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Table Library

Part II: Supporting Pages—Work Activities

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Table Library

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:			Federal FY of Grant: FY02
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: #1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	6,500.00	6,500.00	6,500.00	
3	1408 Management Improvements	1,500.00	1,500.00	1,500.00	
4	1410 Administration	1,000.00	-0-		
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	18,650.00	14,775.00	14,775.00	
8	1440 Site Acquisition				
9	1450 Site Improvement	4,500.00	36,250.00	36,250.00	
10	1460 Dwelling Structures	113,519.00	81,550.00	81,550.00	
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency		5,094.00	5,094.00	
21	Amount of Annual Grant: (sum of lines 2 – 20)	145,669.00	145,669.00	145,669.00	
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs		26,000.00	26,000.00	
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary								
PHA Name: Housing Authority of Lawrence County			Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:				Federal FY of Grant: FY02	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: #1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-02 <input type="checkbox"/> Final Performance and Evaluation Report								
Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost			
		Original	Revised		Obligated	Expended		
Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part II: Supporting Pages								
PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:				Federal FY of Grant: FY02		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA Wide	Operations	1406		6,500.00	6,500.00	6,500.00		
	Management Improvements	1408		1,500.00	1,500.00	1,500.00		
	Administration	1410		1,000.00	-0-			
	Architect (See FY01)	1430		9,325.00	5,450.00	5,450.00		
	Management Consultant	1430		9,325.00	9,325.00	9,325.00		
KY86-01	Replace Sidewalk/Curbs	1450	900lf	4,500.00	7,050.00	7,050.00		
Bird Hayes Manor	Add Deck	1450		-0-	3,200.00	3,200.00		
	Add solid surface tub surround	1460	22	11,550.00	-0-			
	Add grab bars	1460	22	3,850.00	-0-			
	Add tub water diverter	1460	22	2,750.00	-0-			
	Remove/save water closets and remove bathroom flooring	1460	22	3,300.00	3,300.00	3,300.00		
	Replace subflooring/joists	1460	22	4,400.00	2,700.00	2,700.00		
	Replace floor covering	1460	22	7,700.00	7,700.00	7,700.00		

Annual Statement/Performance and Evaluation Report

Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary

PHA Name: Housing Authority of Lawrence County			Grant Type and Number Capital Fund Program Grant No: KY36P086501-02 Replacement Housing Factor Grant No:				Federal FY of Grant: FY02	
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: #1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-02 <input type="checkbox"/> Final Performance and Evaluation Report								
Line No.	Summary by Development Account	Total Estimated Cost			Total Actual Cost			
		Original	Revised	Obligated	Expended			
	Replace baseboard w/wood	1460	22	2,200.00	2,200.00	2,200.00		
	Replace saved water closets/add n/rings	1460	22	1,650.00	1,650.00	1,650.00		
	Replace water heaters	1460	22	11,625.00	-0-			
KY86-02	Replace 2 nd floor porches	1460	8	12,000.00	15,000.00	15,000.00		
Ray Willms Villa	Remove/replace roofs	1460	10	43,489.00	49,000.00	49,000.00		
	Replace existing wood w/vinyl	1460		3,800.00	-0-			
	Replace gutters & downspouts	1460		5,200.00	-0-			
	Security Lighting	1450		-0-	26,000.00	26,000.00		
PHA Wide	Contingency	1502		-0-	5,094.00	5,094.00		

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: FY01
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: #1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total non-CFP Funds				
2	1406 Operations	6,500.00	6,500.00	6,500.00	6,500.00
3	1408 Management Improvements	1,500.00	3,217.88	3,217.88	
4	1410 Administration	1,000.00	282.12	282.12	282.12
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	18,650.00	17,000.00	17,000.00	14,825.00
8	1440 Site Acquisition				
9	1450 Site Improvement	7,900.00	7,900.00	7,900.00	7,900.00
10	1460 Dwelling Structures	94,639.00	118,353.00	118,353.00	79,906.05
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Nondwelling Structures				
13	1475 Nondwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities				
19	1501 Collateralization or Debt Service				
20	1502 Contingency				
21	Amount of Annual Grant: (sum of lines 2 – 20)	153,253.00	153,253.00	153,253.00	109,431.17
22	Amount of line 21 Related to LBP Activities				
23	Amount of line 21 Related to Section 504 compliance				
24	Amount of line 21 Related to Security – Soft Costs				
25	Amount of Line 21 Related to Security – Hard Costs				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary					
PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-01 Replacement Housing Factor Grant No:			Federal FY of Grant: FY01
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: #1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-02 <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
26	Amount of line 21 Related to Energy Conservation Measures				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHA Name: Housing Authority of Lawrence County		Grant Type and Number Capital Fund Program Grant No: KY36P086501-01 Replacement Housing Factor Grant No:				Federal FY of Grant: FY01		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories	Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised	Funds Obligated	Funds Expended	
PHA-Wide	Operations	1406		6,500.00	6,500.00	6,500.00	6,500.00	
	Management Improvements	1408		1,500.00	3,217.88	3,217.88		
	Administration (Advertisement)	1410		1,000.00	282.12	282.12	282.12	
	Architect/Engineer	1430		8,825.00	8,175.00	8,175.00	8,175.00	
	Management Consultant	1430		8,825.00	8,825.00	8,825.00	6,650.00	
	Plans & Specification Reproduction	1430		1,000.00	-0-			
KY86-01	Add covered picnic area	1450		4,200.00	-0-			
KY86-01	Repair/Replace Sidewalk	1450	260lf	1,700.00	-0-			
KY86-02	Repair/Replace Sidewalk/Curbs	1450	750lf	2,000.00	7,900.00	7,900.00	7,900.00	
KY86-02	Repair/Refinish Interior Stairs	1460	16	13,200.00	18,392.00	18,392.00	18,392.00	
KY86-02	Rmv/Rpl roofs/metal edge	1460	10 bldgs	60,439.00	68,444.56	68,444.56	29,997.61	
KY86-01	Add insulation to R-30	1460	7 bldgs	21,000.00	-0-			
KY86-01	Replace Storm Doors	1460	44	-0-	12,100.00	12,100.00	12,100.00	
KY86-01	General Con. & Half-Bond (Packs)	1460		-0-	8,658.44	8,658.44	8,658.44	
KY86-02	Upgrade Smoke Detectors (family)	1460	16	-0-	10,758.00	10,758.00	10,758.00	
KY86-01	Replace maintenance exterior door	1470	1	850.00	-0-			
KY86-02	Maintenance Bldg.	1470		10,000.00	-0-			
PHA-Wide	Replacement Reserve	1490		12,214.00	-0-			

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

ATTACHMENT DELETED

PHDEP

The Public Housing Drug Elimination Program is no longer in existence nor did the Housing Authority of Lawrence County participate in this program.

Resident Advisory Board Membership

The Resident Advisory Board for the Housing Authority of Lawrence County is composed of all residents including Section 8.

There was no response from Section 8 residents.

Resident Advisory Board Recommendations and PHA Response

The Resident Advisory Board meeting was held on December 18, 2002 at the Villa Community Room. The following summarizes suggestions received at the meeting and the Housing Authority's response:

- More and better outside lighting
- New water heaters and ac blowers
- New kitchen appliances and exhaust fans
- Cabinets are good but need new facing
- Windows need rubber seals replaced
- 17" commodes and shower stalls with grab bars
- New stoves, hood with fan, refrigerators, cabinet
- Carpet in bedrooms, hallway and living rooms
- New tile in bathroom and kitchen
- New kitchen countertops, bathroom sinks and cabinets
- Paint walls – give us some color
- New blinds in living rooms
- Would like to have tiles on side wall in kitchen to keep splatters off wall beside stove

Response: The Housing Authority explained the need to perform work items that needed immediate attention first and that many of the items mentioned were already in progress of being completed. The Housing Authority advised the residents that the Board of Commissioners would prioritize their suggestions in line of need.

Criteria for Significant Amendment and Substantial Modification

The Housing Authority of Lawrence County previously adopted the following criteria to define substantial deviation and significant amendment to its Agency Plan as follows:

- Changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or 5-Year Action Plan) or change in use of replacement reserve funds under the Capital Fund;
- Additions of new activities not included in the current PHDEP Plan;
- And any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

Deconcentration & Income Mixing

Attachment: K Deconcentration and Income Mixing

Component 3, (6) Deconcentration and Income Mixing

- a. ☐ Yes ☒ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. ☐ Yes ☐ No: Do any of these covered developments have average incomes above or below 85% to 115% of the average incomes of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy for Covered Developments			
Development Name:	Number of Units	Explanation (if any)[see step 4 at §903.2©(1)((iv)]	Deconcentration policy (if no explanation) [see step 5 at §903.2©(1)(v)]

Voluntary Conversion Initial Assessments

Component 10 (B) Voluntary conversion Initial Assessments

- a. How many of the PHA's developments are subject to the Required Initial Assessment:
KY086-001 and KY086-002
- b. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects?) **None**
- c. How many Assessments were conducted for the PHA's covered developments?
Assessment completed for each project number in item a.
- d. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

Development Name	Number of Units
None	

- a. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

Community Service

The following is a brief description of the Housing Authority's Community Service Requirements:

As a part of its 2001 Agency Plan Update, the Housing Authority of Lawrence County adopted a self-certifying Community Service Policy. Implementation of the requirements of the policy became effective with the first annual reexamination after April 1, 2001. The policy contains detailed procedures for program administration and compliance monitoring.

With approval of the 2002 Agency Plan Update, the Housing Authority of Lawrence County suspended its Community Service Policy. The policy remains suspended at this time.

Pet Policy Summary

The following is a brief summary of the Housing Authority's Pet Policy requirements:

The Housing Authority of Lawrence County Pet Policy was adopted January 12, 2001, Board Resolution no. 01-001. The policy requires registration of pets with the Housing Authority and outlines the specific type and number of pets allowed. A pet deposit of \$200 is charged for a dog or cat, \$50 for a fish aquarium and \$25 for caged pets. A "Resident Acknowledgment" is signed by the head of household and the Housing Authority upon approval of the pet. The Housing Authority also issues an "Authorization for Pet Ownership Form" which requires documentation of the pet's vaccination records and a picture of the pet.

Resident Membership PHA Governing Board

Required Attachment O: Resident Member on the PHA Governing Board

1. ☐ Yes ☒ No: Does the PHA governing board include at least one member who is directly assisted by the PHA this year? (if no, skip to #2)

A. Name of resident member(s) on the governing board:

B. How was the resident board member selected: (select one)?

- ☐ Elected
☐ Appointed

C. The term of appointment is (include the date term expires):

2. A. If the PHA governing board does not have at least one member who is directly assisted by the PHA, why not?

- ☐ the PHA is located in a State that requires the members of a governing board to be salaried and serve on a full time basis
☒ the PHA has less than 300 public housing units, has provided reasonable notice to the resident advisory board of the opportunity to serve on the governing board, and has not been notified by any resident of their interest to participate in the Board.
☐ Other (explain):

B. Date of next term expiration of a governing board member: 04-21-2004

C. Name and title of appointing official(s) for governing board (indicate appointing official for the next position): **County Judge Executive David Compton**

Board Approved Operating Budget

(For troubled or at risk of being troubled PHA's only)

As a PHAS high performer, the Housing Authority of Lawrence County is not required to attach a copy of the Operating Budget.

